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Attorney Docket No. YOR920030088US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): Y. Diao et al.
Docket No.: YOR920030088US1
Serial No.: 10/648,179
Filing Date: August 26, 2003
Group: 3629
Examiner: To Be Assigned

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature: Lisa L. Vulpis Date: June 15, 2004

Title: Methods and Systems for Model-Based
Management Using Abstract Models

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

1. J. Aman et al., "Adaptive Algorithms for Managing a Distributed Data Processing Workload," IBM Systems Journal, Vol. 36, No. 2, pp. 1-41, 1997.
2. R.A. Maxion, "Anomaly Detection for Diagnosis," Proceedings of the 20th International Annual Symposium on Fault Tolerance, pp. 20-27, June 1990.
3. P. Hoogenboom et al., "Computer System Performance Problem Detection Using Time Series Models," Proceedings of the Summer USENIX Technical Conference, pp. 1-18, June 1993.
4. M. Thottan et al., "Adaptive Thresholding for Proactive Network Problem Detection," IEEE Third International Workshop on Systems Management, pp. 1-16, April 1998.

5. R. Isermann et al., "Process Fault Diagnosis Based on Process Model Knowledge," Proceedings of 1989 SAME International Computers in Engineering Conference and Exposition, pp. 631-642, July 1989.

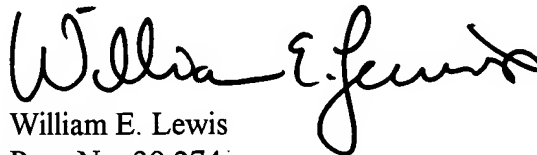
6. P. Horn, "Autonomic Computing: IBM's Perspective on the State of Information Technology," IBM Research, pp. 1-38, October 2001.

Applicants would also like to bring to the attention of the Examiner a presentation made by J. McConnell et al. entitled "Predictive Analysis: How Many Problems Can We Avoid?," at Networkworld + Interop Conference, Las Vegas, 2002." Applicants were unable to obtain a copy of any conference proceedings associated with such presentation.

It is believed that there is no fee due in conjunction with the filing of this Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,



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Date: June 15, 2004

FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

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U.S. PATENT DOCUMENTS

EXAMINER		DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	FILING DATE
INITIAL	IF APPROPRIATE					

FOREIGN PATENT DOCUMENTS

EXAMINER		DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION	
INITIAL	YES					NO	

OTHER DOCUMENTS

EXAMINER		REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
INITIAL			

1. J. Aman et al., "Adaptive Algorithms for Managing a Distributed Data Processing Workload," IBM Systems Journal, Vol. 36, No. 2, pp. 1-41, 1997.
2. R.A. Maxion, "Anomaly Detection for Diagnosis," Proceedings of the 20th International Annual Symposium on Fault Tolerance, pp. 20-27, June 1990.
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